

J1132
01/28/02
U.S. PTO

01-30-02

A
UTILITY
PATENT APPLICATION
TRANSMITTAL

Our Docker No.: LL11.12-0051 Date: January 28, 2002

First Named Inventor: Ernest P. Brody

Title: Method Of Processing A Proteinaceous Material To Recover K-Casein Macropeptide And Polymers Of A-Lactalbumin And B-Lactoglobulin

Express Mail No.: EL705881819US

JC828 U.S. PTO
100-0058801

01/28/02

APPLICATION ELEMENTS

Assistant Commissioner for Patents
ADDRESS TO: Box Patent Application
Washington, D.C. 20231

1. Fee Calculation Sheet
(Submit an original and a duplicate for fee processing)
2. Applicant claims small entity status
3. Specification Total Pages [130]
 - Descriptive title of the invention
 - Cross References to Related Applications
 - Statement Regarding Fed. Sponsored R&D
 - Reference to Microfiche Appendix
 - Background of the Invention
 - Brief Summary of the Invention
 - Brief Description of the Drawings (if filed)
 - Detailed Description
 - Claims
 - Abstract of the Disclosure
4. Drawings (35 U.S.C. 113) Total Sheets [23]
5. Oath or Declaration Total Pages [2]
 - a. Newly Executed (original or copy)
 - b. Copy from a prior application (37 C.F.R. 1.63(d) - for continuation/divisional with Box 18 completed)

[Mark Box 5 below]
 i. DELETION OF INVENTOR(S)
 Signed statement attached deleting inventor(s) named in the prior application, see 37 C.F.R. 1.63(d)(2) and 1.33(b)
6. Application Data Sheet. See 37 CFR 1.76.

7. CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
8. Nucleotide and/or Amino Acid Sequence Submission
(If applicable, all necessary)
 - a. Computer Readable Copy (CRF)
 - b. Specification Sequence Listing on:
 - i. CD-ROM or CD-R (2 copies; or
 - ii. Paper
 - c. Statements verifying identify of above copies

ACCOMPANYING APPLICATION PARTS

9. Assignment Papers (cover sheet & document(s))
10. 37 C.F.R. 3.73(b) Submission
- Power of Attorney
11. English Translation Document (if applicable)
12. Information Disclosure Statement with copies of Citations as necessary
13. Preliminary Amendment Total Pages []
14. Return Receipt Postcard (Should be specifically itemized)
15. Certified Copy of Priority document(s) (If foreign priority is claimed)
16. Request and Certification under 35 U.S.C.122(b)(2)(B)(i). (Nonpublication Request)
17. Other

18. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

 Continuation Division Continuation-in-part (CIP) of prior Application No.

Prior Application Information: Examiner _____ Group Art Unit _____

For CONTINUATION OR DIVISIONAL APPS only The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts

19. CORRESPONDENCE ADDRESS

| | | |
|------------------------|---|--|
| ATTY NAME AND REG. NO. | Philip F. Fox, Reg. No. 38,142 | SIGNATURE: <i>Philip F. Fox January 28, 2002</i> |
| ADDRESS | Kinney & Lange, P.A. THE KINNEY & LANGE BUILDING 312 South Third Street Minneapolis, MN 55415-1002 | |
| TELEPHONE | (612) 339-1863 | FAX: (612) 339-6580 |

FEE TRANSMITTAL

EXPRESS MAIL NO. EL705881819US

Complete if Known

Application No.

Herewith

First Named Inventor

Ernest P. Brody

Group Art Unit

Examiner Name

Total Amount of Payment \$1,836.00

Atty. Docket Number

LL11.12-0051

METHOD OF PAYMENT (Check One)

1. The Commissioner is hereby authorized to charge any additional fee required under 37 C.F.R. 1.16 and 1.17 and credit any over payments to Deposit Account No.11-0982. Deposit Account Name: Kinney & Lange, P.A.

2. Check Enclosed

FEE CALCULATION

1. BASIC FILING FEE

| Large Entity Fee Code | Fee (\$) | Small Entity Fee Code | Fee (\$) | Fee Description |
|------------------------------|----------|-----------------------|----------|---|
| 101 | 740 | 201 | 370 | [X] Utility Filing Fee |
| 106 | 330 | 206 | 165 | <input type="checkbox"/> Design Filing Fee |
| 108 | 740 | 208 | 370 | <input type="checkbox"/> Reissue Filing Fee |
| 114 | 160 | 214 | 80 | <input type="checkbox"/> Prov. Filing Fee |
| Subtotal (1) \$740.00 | | | | |

2. EXTRA CLAIM FEES

| Total | Number of Claims | Prior** | Extra | Fee from Below | Fee Paid |
|---------------------------|------------------|---------|-----------|----------------|----------|
| | 60 | - 20 = | 40 x 18 = | <u>720.00</u> | |
| Indep. | 7 | - 3 = | 4 x 84 = | <u>336.00</u> | |
| Multiple Dependent Claims | | * | = | * | |

**Insert 3 and 20, or number previously paid if greater, Reissue see below

| Large Entity Fee Code | Fee (\$) | Small Entity Fee Code | Fee (\$) | Description |
|--------------------------------|----------|-----------------------|----------|---|
| 103 | 18 | 203 | 9 | Claims in excess of 20 |
| 102 | 84 | 202 | 42 | Independent claims in excess of 3 |
| 104 | 280 | 204 | 140 | Multiple Dependent Claim |
| 109 | 84 | 209 | 42 | Reissue Independent Claims Over Original Patent |
| 110 | 18 | 210 | 9 | Reissue claims in excess of 20 and over original patent |
| Subtotal (2) \$1,056.00 | | | | |

3. ADDITIONAL FEES

| Large Entity Fee Code | Fee (\$) | Small Entity Fee Code | Fee (\$) | Fee Description | Fee paid |
|-----------------------------|----------|-----------------------|----------|--|----------|
| 105 | 130 | 205 | 65 | Surcharge - Late filing fee or oath | * |
| 127 | 50 | 227 | 25 | Surcharge - late provisional filing fee or cover sheet | * |
| 139 | 130 | 139 | 130 | Non-English specification | * |
| 147 | 2,520 | 147 | 2,520 | For Filing a Request for Reexamination | * |
| 115 | 110 | 215 | 55 | Extension for reply within first month | * |
| 116 | 400 | 216 | 200 | Extension for reply within second month | * |
| 117 | 920 | 217 | 460 | Extension for reply within third month | * |
| 118 | 1,440 | 218 | 720 | Extension for reply within fourth month | * |
| 128 | 1,960 | 280 | 980 | Extension for reply within fifth month | * |
| 120 | 320 | 220 | 160 | Filing a brief in support of an appeal | * |
| 121 | 280 | 221 | 140 | Request for oral hearing | * |
| 148 | 110 | 248 | 55 | Terminal Disclaimer Fee | * |
| 140 | 110 | 240 | 55 | Petition to revive - unavoidable | * |
| 141 | 1,280 | 241 | 640 | Petition to revive - unintentional | * |
| 142 | 1,310 | 242 | 670 | Utility/Reissue issue fee (inc. advance copies) | * |
| 143 | 490 | 243 | 260 | Design issue fee (inc. advance copies) | * |
| 122 | 130 | 122 | 130 | Petitions to the Commissioner | * |
| 123 | 50 | 123 | 50 | Petitions related to provisional applications | * |
| 126 | 180 | 126 | 180 | Submission of Information Disclosure Statement | * |
| 581 | 40 | 581 | 40 | Recording each patent assignment per property (times number of properties) | * |
| 179 | 740 | 279 | 370 | Request for Continued Examination (RCE) | * |
| Other fee (specify) _____ | | | | | |
| Subtotal (3) \$40.00 | | | | | |

Signature

Philip F. Fox

Reg. No. 38,142

Date

January 28, 2002

Deposit Account No. 11-0982

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

| | |
|--|-----------------|
| First Named Inventor : Ernest P. Brody | Group Art Unit: |
| Appln. No. : | Examiner: |
| Filed : Herewith | |
| Title : Method Of Processing A Proteinaceous Material To Recover K-Casein Macropeptide And Polymers Of A-Lactalbumin And B- Lactoglobulin | |
| Docket No. : LL11.12-0051 | |

LETTER TO OFFICIAL DRAFTSMAN

Box Patent Application
Assistant Commissioner for Patents
Washington, D.C. 20231

SENT VIA EXPRESS MAIL
Express Mail No.: EL705881819US

Sir:

Enclosed are twenty-three (23) sheets of formal drawings for filing in the above-identified application.

Respectfully submitted,

KINNEY & LANGE, P.A.

Date: January 28, 2002 By: Philip F. Fox
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PFF:alg:rms